

## **Flow Traders U.S. Institutional Trading, LLC**

### **BUSINESS CONTINUITY PLAN SUMMARY and DISCLOSURE STATEMENT**

Flow Traders U.S. Institutional Trading, LLC (the “Firm”) provides this disclosure statement to interested parties to inform them of its ability to assess and respond to significant business disruptions. This disclosure statement is made available to interested parties upon request.

The Firm's policy is to respond to a Significant Business Disruption (SBD) by safeguarding employees' lives and firm property, making a financial and operational assessment, quickly recovering and resuming operations, protecting all of the firm's books and records and continuing to transact business.

To receive current information during a significant business disruption, customers may contact William Stush, 917-210-5050 or [wstush@us.flowtraders.com](mailto:wstush@us.flowtraders.com) or Dylan Morgan 917-210-5012, [dmorgan@us.flowtraders.com](mailto:dmorgan@us.flowtraders.com).

#### **In the event of a significant business disruption:**

**Contacting us:** If after a significant business disruption, you cannot contact us as you usually do or using the information listed above, you should contact:

James Nagadi at our clearing firm BOFA Securities Inc. at 212-670-0304 or at [james.nagadi@baml.com](mailto:james.nagadi@baml.com).

Eric Rhodes at our clearing firm Goldman Sachs & Co. at 212-357-8072 or at [eric.rhodes@gs.com](mailto:eric.rhodes@gs.com).

**Varying Disruptions** – Significant business disruptions can vary in their scope, such as only our firm, a single building housing our firm, the business district where our firm is located the city where we are located, or the whole region. The firm operates exclusively from its main office at 1114 Avenue of the Americas, 4th Floor, New York, NY 10036.

- For a business district, citywide business disruptions and/or regional business disruptions, the firm will not be able to transact business until arrangements are made to become operational.

The Firm backs up its important records in a geographically separate area. While every emergency situation poses unique problems based on external factors, such as time of day and the severity of the disruption, we will make every effort to become operational as soon as possible.